

Conducting Effective Investigations Into Code Of Ethics Violations



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Speakers: Alan Sarhan and Carly Merideth of DLA Piper

About This Webinar

Ethics violations can quickly damage employee trust, organizational culture, and legal standing—especially when they’re not properly investigated.

Join legal experts **Alan Sarhan and Carly Merideth of DLA Piper** for a practical and timely webinar focused on how to investigate breaches of your Code of Ethics with fairness, rigour, and legal defensibility. Whether you’re dealing with conflicts of interest, dishonesty, harassment, or misuse of company resources, you’ll walk away with clear guidance on handling internal investigations with confidence.

In this 60-minute session, you’ll learn:

- Key steps in launching and managing an internal ethics investigation
- Legal obligations and procedural fairness requirements
- How to document your process to withstand external scrutiny or legal challenges
- When to escalate issues to legal counsel or third-party investigators
- Best practices for resolving cases, protecting your workplace, and avoiding retaliation claims
- This session is a must for HR professionals, compliance officers, and in-house counsel navigating the complex world of workplace ethics enforcement.

Reserve your seat today

What’s Included

1. **Expert Insights:** Get practical legal guidance from Alan Sarhan and Carly Merideth of DLA Piper on conducting internal ethics investigations that are fair, thorough, and legally defensible.
2. **Understanding Investigation Protocols:** Learn the key steps to properly launch,

manage, and close an ethics investigation—whether it involves dishonesty, harassment, or conflicts of interest.

3. **Identifying Risk Areas:** Discover where investigations often go wrong and how to avoid common missteps that can undermine credibility or lead to legal exposure.
4. **Implementing Safe Practices:** Gain tools and frameworks for documenting your process, ensuring procedural fairness, and protecting confidentiality throughout the investigation.
5. **Avoiding Legal Pitfalls:** Understand when to escalate issues to legal counsel or third-party investigators and how to reduce the risk of retaliation or compliance breaches.
6. **Live Q&A Opportunity:** Bring your toughest investigation questions—Alan and Carly will answer them during a dedicated Q&A segment.
7. **Supporting Resources:** Receive practical templates and guidance to support your ethics investigation protocols and post-investigation actions.

Who Needs To Be There?

1. HR Managers & Employee Relations Professionals
2. Compliance Officers
3. In-House Legal Counsel
4. Ethics & Integrity Program Leaders
5. Operations & People Leaders
6. Risk Management Professionals
7. Executive Leadership Teams
8. Anyone responsible for enforcing the Code of Conduct or investigating workplace issues